

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Johnathan Faith.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Johnathan Faith*, Case No. 20-004;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on March 31, 2020, the Commission alleged facts in an Initiating Order that Johnathan Faith violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (d), KRS 11A.020(2);

WHEREAS, Johnathan Faith was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Johnathan Faith indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Johnathan Faith agree, pursuant to KRS 11A.100, as follows:

1. Johnathan Faith admits that he committed one count in violation of the Executive Branch Code of Ethics codified at 11A.020(1)(a), (b), (d), KRS 11A.020(2), as stated in the Appendix A to the Commission's Initiating Order of March 31, 2020, attached hereto and incorporated by reference herein.

2. Johnathan Faith agrees to pay the Commission a civil penalty of fifteen hundred dollars (\$1,500.00) on or before March 16, 2021. If Johnathan Faith fails to make payment in full by the deadline, then Mr. Faith agrees to be responsible for the full civil penalty allowable pursuant to KRS 11A.100(3)(e) of five thousand dollars (\$5,000) per count for a total amount owing of five thousand dollars (\$5,000), which will be reduced by any payments Mr. Faith has made prior to the deadline.

3. Johnathan Faith agrees that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Johnathan Faith*, Case No. 20-004.

5. This Settlement Agreement constitutes a public reprimand to Johnathan Faith, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:


Johnathan Faith

03 FEB 2020
Date

EXECUTIVE BRANCH ETHICS COMMISSION:

Christopher L. Thacker
Christopher L. Thacker (Mar 31, 2020)

Christopher L. Thacker, Chair

Mar 31, 2020

Date

Christopher W. Brooker
Christopher W. Brooker (Mar 31, 2020)

Christopher W. Brooker, Vice-Chair

Mar 31, 2020

Date

April A. Wimberg
April A. Wimberg (Mar 31, 2020)

April A. Wimberg, Member

Mar 31, 2020

Date

Holly R. Iaccarino
Holly R. Iaccarino (Mar 31, 2020)

Holly R. Iaccarino, Member

Mar 31, 2020

Date

Kyle M. Winslow
Kyle M. Winslow (Mar 31, 2020)

Kyle M. Winslow, Member

Mar 31, 2020

Date